

A set of lectures on Quantum Phase Transitions

: transitioning between distinct GROUND STATES

in a quantum Hamiltonian

↗ \exists terms that do not commute

Lect 1. The Standard Lore

: work out your muscle through a concrete example of

quantum paramagnet - Neel order transition. (Homework problem)

Lect 2. New problems and new reality.

↓

STM

↓

Inhomogeneity

⇒ QPT in the presence of disorder?

} Research problems!

Lect 3. What if there is no order parameter?

: Topological QPT.

Lecture 1. The Standard Lore.

I. Introduction: Quantum Phase Transitions (continuous)

Reading suggestion: RMP 69, 315 (1997)

Sondhi, Girvin, Carini, Shahar

0 Continuous PT (2nd order)

-
- Spontaneous symmetry breaking at $T=T_c$
 - Ordered state for $T < T_c$ (OP is nonzero)
 - ↳ Equilibrium state has lower symmetry than the effective Hamiltonian.
 - ex) $H = -J \sum_{\langle ij \rangle} \sigma_i \sigma_j$ symmetry $\sigma_i \rightarrow -\sigma_i \Leftrightarrow H \rightarrow H$ (def. of Symm.)

But an ordered state → the other ordered state

$$\text{with } \frac{1}{N} \sum_i \langle \sigma_i \rangle = m \quad \frac{1}{N} \sum_i \langle \sigma_i \rangle = -m$$

- divergence/singularities in thermodynamic quantities C_V, χ

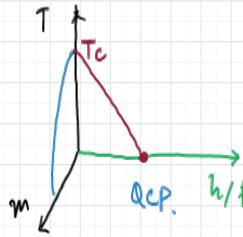
- diverging correlation length ξ . ↳ absence of momentum scale. ↳ Scale invariance.

- LG free energy functional

$$\beta F[m] = \int d^d x \left[\frac{K}{2} (\nabla m)^2 + \frac{t}{2} m^2 + U m^4 + \dots \right] \quad t = \frac{T-T_c}{T_c} \text{ tunes the distance to the CP.}$$

$$\beta F[\psi] = \int d^d x \left[\frac{K}{2} |\nabla \psi|^2 + \frac{t}{2} |\psi|^2 + U |\psi|^4 + \dots \right] \text{ for complex op. field } \psi(x)$$

o Quantum Phase Transition



: $T=0$ transitioning between distinct GROUND STATES

in a quantum Hamiltonian

\exists terms that do not commute

- Quantum, in the sense that the tuning parameter has to fight against system's tendency to order in the absence of entropic effect, via uncertainty principle - Quantum Fluctuation.

\Rightarrow interaction vs quantum fluctuation
 $U(x)$ \leftrightarrow quantum dynamics

- All finite temperature PT's are classical even when Q.M. is necessary for the order parameter.

e.g. Ising Ferromagnet, Superfluid He⁴, Superconductor,

\because quantum fluctuations are unimportant at long distances sufficiently close to T_c .

$$k \ll k_B T \xi^2$$

- Some (effective) Hamiltonian's are classical by design. e.g. $H = -J \sum_{\langle i,j \rangle} S_i S_j$

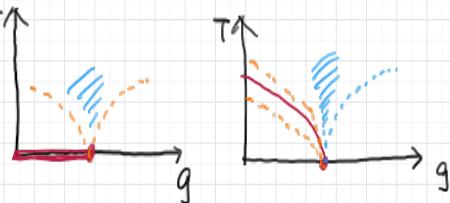
- For some Hamiltonians we ignore quantum fluctuation the classical limit.

$$H = -J \sum_{\langle i,j \rangle} (S_i^x S_j^x + S_i^y S_j^y + S_i^z S_j^z), \quad [S_x, S_y] = i\hbar S_z \text{ ignored in the large } S \text{ limit.}$$

o The Standard Lore

$$d \rightarrow d+z$$

and



$$1) d \rightarrow d+z$$

$$\hat{H} = \hat{K} + \hat{U} \text{ in } d\text{-dim space}$$

$$\begin{array}{c} \uparrow \quad \uparrow \\ [x, p] = i\hbar, \quad [S_x, S_y] = i\hbar S_z \dots \\ \text{do not commute.} \end{array}$$



[$\phi(x), \nabla \phi(x')$] = $i\hbar f(x-x')$

with QFT

$$\langle 0 | 0 \rangle = \int d\vec{x} e^{i S[\phi]}$$

$$S[\phi] = \int dt \int d\vec{x} L[\phi(\vec{x})]$$

$$L[\phi(x)] = \nabla_\phi \dot{\phi} - H$$

$$S[\phi] \quad i\hbar = \tau,$$

$$\langle \phi | \phi \rangle$$

with quantum stat mech

$$Z = \text{Tr} \hat{\rho} = \text{Tr} e^{-\beta \hat{H}}$$

\hookrightarrow choose your basis

- i) If you know $|n\rangle$'s such that $H|n\rangle = E_n|n\rangle$, lucky you. QPT is just a level crossing
- ii) If unlucky



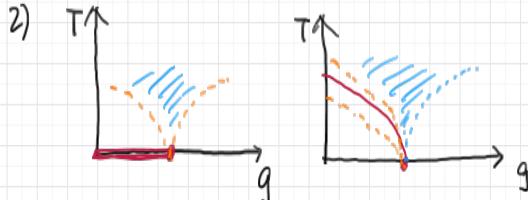
$$Z = \langle \phi | \phi(x) | e^{-\beta \hat{H}} | \phi(x) \rangle$$

Deal with $e^{-\beta(E_1 + U)}$ $\neq e^{-\beta E_1} e^{-\beta U}$ by slicing up.
 $B = N\delta\tau, \quad N \rightarrow \infty$

$$Z = \int d\phi e^{-S_E[\phi]}$$

- the dynamic critical exponent ζ : $x' = x e^{-l}$ $\phi' = \phi e^{\dim[\phi]l}$
 keeping the Lagrangian scale invariant. $t' = t e^{-\zeta l}$
 (\therefore critical point)

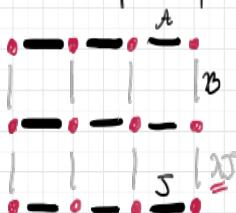
- Caveats:
 - mapping holds for **thermodynamics only**
 - resulting classical system can be unusual and **anisotropic** ($\zeta \neq 1$)
 - extra complication w/ no classical counterpart may arise
e.g. Berry phases, sign problem



- To ? matter of whether classical transition (long range order) is permitted in the thermodynamic limit \leftarrow **lower critical dimension**
- How do the curves bend? dimension of g .
- What determines the crossovers? correlation functions.
- Real life?

II. Coupled dimer model : pressure driven QPT in $TlCuCl_3$

- o The model
 - quantum spin $S=1/2$ on sites
 - spatially anisotropic exchange
- o Refs: $TlCuCl_3$: Matsumoto et al PRB 61, 54423 (04)
 $BaCuSi_2O_6$: Sebastian et al Nature 441, 617 (06)
 Bond op. rep.: Sachdev & Bhattacharyya PRB 41, 9313 (1990)
 HW: Sachdev Cond-matt 0401041.



$$H = J \sum_{\langle i,j \rangle \text{eff}} \vec{S}_i \cdot \vec{S}_j + \lambda J \sum_{\langle i,j \rangle \text{IB}} \vec{S}_i \cdot \vec{S}_j - \sum_j \vec{B} \cdot \vec{S}_j$$

- o Consider $B=0$ first.

① $\lambda=0$ limit: indep. dimers

We know how to solve!

spin gap $\{ |S=1, S_z=1\rangle, |1,0\rangle, |1,-1\rangle \text{ triplet}$
 $\Delta=J$ $|S=0\rangle \text{ singlet}$

② $\lambda=1$ limit: Heisenberg AF

Neel order.

Schematic PD

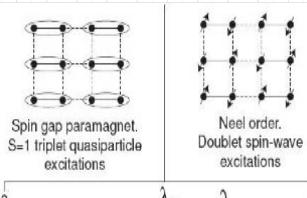


Fig. 4. Ground states of H_d as a function of λ . The quantum critical point is at [28] $\lambda_c = 0.52337(3)$. The compound $TlCuCl_3$ undergoes a similar quantum phase transition under applied pressure [8].

o From QPM (small λ) Side, (refer to the HW for typed Eq's)

- Use bond operator formalism, represent spins with **singlets & triplets**, (S, t_x, t_y, t_z)

$$\begin{array}{c} S_{1\alpha} \\ \text{---} \\ S_{1\alpha} \quad S_{2\alpha} \end{array} \quad S_{1\alpha} = \frac{1}{2} \left(s^\dagger t_\alpha + t_\alpha^\dagger s - i \epsilon_{\alpha\beta\gamma} t_\beta^\dagger t_\gamma \right)$$

$$S_{2\alpha} = \frac{1}{2} \left(-s^\dagger t_\alpha - t_\alpha^\dagger s - i \epsilon_{\alpha\beta\gamma} t_\beta^\dagger t_\gamma \right)$$

where $|s\rangle \equiv s^\dagger |0\rangle = \frac{1}{\sqrt{2}}(|\uparrow\downarrow\rangle - |\downarrow\uparrow\rangle)$; $|t_x\rangle \equiv t_x^\dagger |0\rangle = -\frac{1}{\sqrt{2}}(|\uparrow\uparrow\rangle - |\downarrow\downarrow\rangle)$

$$|t_y\rangle \equiv t_y^\dagger |0\rangle = -\frac{i}{\sqrt{2}}(|\uparrow\uparrow\rangle + |\downarrow\downarrow\rangle); \quad |t_z\rangle \equiv t_z^\dagger |0\rangle = -\frac{1}{\sqrt{2}}(|\uparrow\uparrow\rangle + |\downarrow\downarrow\rangle).$$

Under the constraint $S^z + t_z^\dagger t_x = 1$ (two spins have to be in one of these four states.)

You can check the above rep. satisfies spin $1/2$ $Su(2)$ algebra.

- The Hamiltonian has interaction terms for bond operators.

$$\begin{aligned} H &= H_0 + H_1 + H_2 + H_3, \\ H_0 &= J \sum_l \left(-\frac{3}{4} s_l^\dagger s_l + \frac{1}{4} t_{l,\alpha}^\dagger t_{l,\alpha} \right) - \sum_l \mu_l (s_l^\dagger s_l + t_{l,\alpha}^\dagger t_{l,\alpha} - 1) + \\ H_1 &= \sum_{lm} a(l,m) (t_{l\alpha}^\dagger t_{m\alpha} s_m^\dagger s_l + t_{l\alpha}^\dagger t_{m\alpha}^\dagger s_m s_l + h.c.) \\ H_2 &= \sum_{lm} b(l,m) i \epsilon_{\alpha\beta\gamma} (t_{m\alpha}^\dagger t_{l\beta}^\dagger t_{l\gamma} s_m + t_{l\alpha}^\dagger t_{m\beta}^\dagger t_{m\gamma} s_l + h.c.) \\ H_3 &= \sum_{lm} c(l,m) (t_{l\alpha}^\dagger t_{m\alpha}^\dagger t_{m\beta} t_{l\beta} - t_{l\alpha}^\dagger t_{m\beta}^\dagger t_{m\alpha} t_{l\beta}), \end{aligned} \quad (8)$$

- Solving the constraint in small λ approximation

i (HW prob 1.)

$$H_{HP} = J \sum_l t_{l,\alpha}^\dagger t_{l,\alpha} + \sum_{l,\hat{\delta}} a(l, l + \hat{\delta}) (t_{l,\alpha}^\dagger t_{l+\hat{\delta},\alpha} + t_{l,\alpha}^\dagger t_{l+\hat{\delta},\alpha}^\dagger + h.c.). \quad (12)$$

- F.T. and find the gap is minimum at $\vec{k} = (0, \pi)$ (trying to be an AFM)

- Gradient expansion around $(0, \pi)$

$$t_{l\alpha} = \tilde{t}_\alpha(\vec{r}_\alpha) e^{i(k_0 \tau + k_x x + k_y y)}$$

$$\begin{aligned} S &= \int d^2 r d\tau \left[\tilde{t}_\alpha^\dagger \frac{\partial \tilde{t}_\alpha}{\partial \tau} + C \tilde{t}_\alpha^\dagger \tilde{t}_\alpha - \frac{D}{2} (\tilde{t}_\alpha^\dagger \tilde{t}_\alpha + H.c.) + K_{1x} |\partial_x \tilde{t}_\alpha|^2 + K_{1y} |\partial_y \tilde{t}_\alpha|^2 \right. \\ &\quad \left. + \frac{1}{2} (K_{2x} (\partial_x \tilde{t}_\alpha)^2 + K_{2y} (\partial_y \tilde{t}_\alpha)^2 + H.c.) + \dots \right] \end{aligned} \quad (13)$$

- Decompose the complex field \tilde{t}_α into real and imaginary parts

$$\tilde{t}_\alpha = (q_\alpha + i \pi_\alpha) \rightarrow \text{remains massive}$$

\Rightarrow integrate out.

- The field theory for the QPM-AFM QCP.

$$S_\varphi = \int d^2 r d\tau \left[\frac{1}{2} (\partial_\tau \varphi_\alpha)^2 + c_x^2 (\partial_x \varphi_\alpha)^2 + c_y^2 (\partial_y \varphi_\alpha)^2 + \Delta^2 \varphi_\alpha^2 + \frac{u}{24} (\varphi_\alpha^2)^2 \right], \quad (15)$$

O(3) model

- TlCuCl₃

: use pressure to tune λ

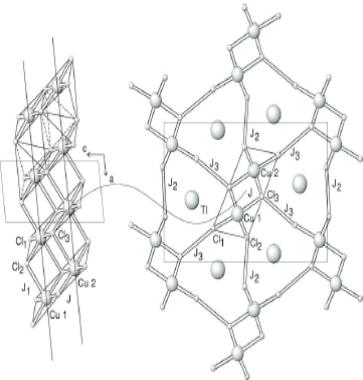
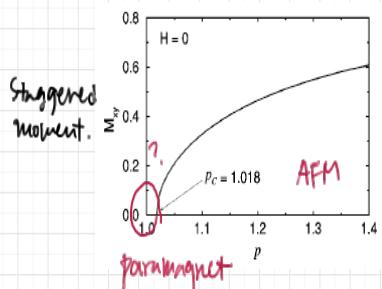


FIG. 1. Structure of $TlCuCl_3$: small circles represent Cl^- ions, medium-sized circles Cu^{2+} ions, and large circles Tl^+ ions.

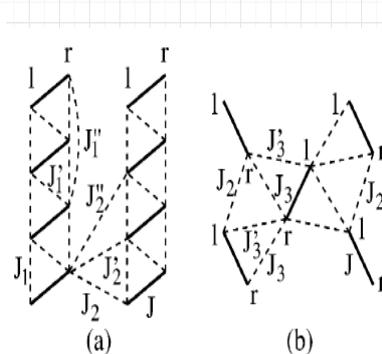
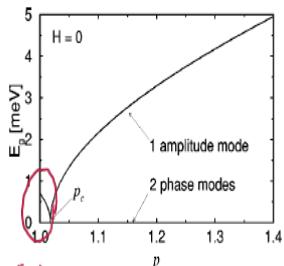


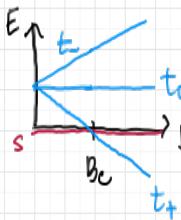
FIG. 2. Schematic representation of relevant interdimer couplings in XCuCl_3 : (a) a - c plane and (b) b - c plane.



With spin gap: Quantum paramagnet.

- Consider the effect of \vec{B}

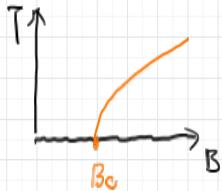
- For $\lambda=0$, \vec{B} splits the triplet, closes the spin gap for $|S=1, S_z=1\rangle$



and Crossing: QPM at $B=B_c$ between QPM & Current order

- For $\lambda \neq 0$; interacting problem

↪ Can use bosonic rep. of triplons, map to BEC of triplons.



\Rightarrow the QCP is of XY universality class.

$$\therefore \text{The component of } q_d \text{ along } \vec{B} \text{ can be integrated out.}$$

due to $(\partial_z q_d)^2 \rightarrow (\partial_z q_d + i q_{dy} B_0 q_x)^2$

Say $\vec{B} = B \hat{z}$, integrate out q_2

\Rightarrow The effective action

$$S_\Psi = \int d^d r \int_0^{1/T} d\tau \left[\Psi^* \partial_\tau \Psi + \frac{1}{2m} |\nabla \Psi|^2 - \mu |\Psi|^2 + \frac{\tilde{u}}{2} |\Psi|^4 \right]$$

in terms of *complex field* Ψ defined as

$$\Psi = \frac{\varphi_x + \varphi_y}{\sqrt{B}} \quad (46)$$

and m and \tilde{u} defined as

$$m \equiv c^2/2B, \quad \tilde{u} \equiv u/12B. \quad (47)$$

BEC of charged
(Complex) boson.

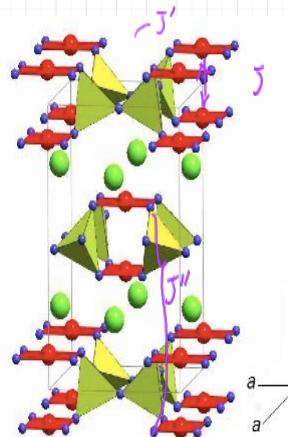
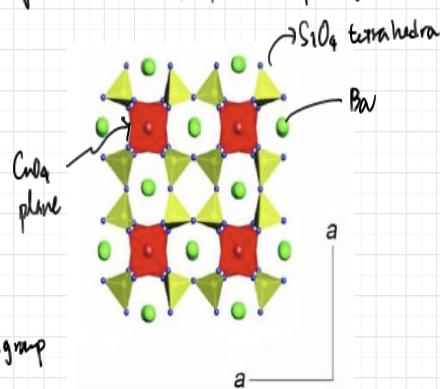
- Han purple pigment $\text{BaCuSi}_2\text{O}_6$

↳ first synthesized by Chinese Han people 2000 yrs ago

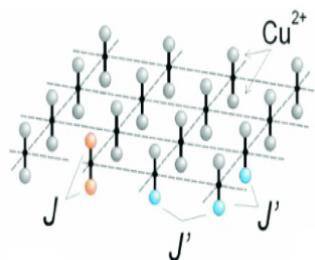
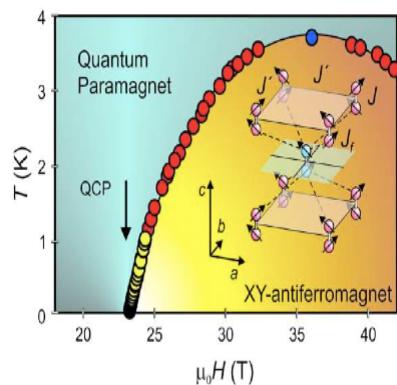


$\text{BaCuSi}_2\text{O}_6$

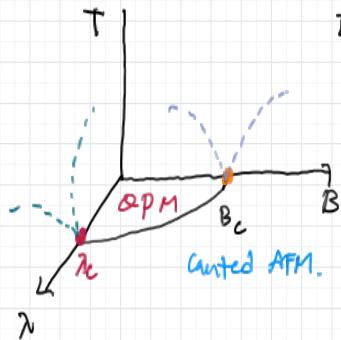
I41/acd Spacegroup



$$J'' \ll J' \ll J$$



o Summary of the coupled dimer model.



In $d=2$, $T=0$ $D=4$ $\times 4$ universality class for the QCP at λ_c

$D=3$ $O(3)$ ϕ^4 model: Wilson-Fisher F.P (HW prob 2)

$T \neq 0$. No long range order in $d=2$ for $N \geq 2$

But finite T phase diagram contains crossovers.

In the prob. 2, a large N approach is used to

1) study the correlation function of fluctuations.

2) identify an tuning parameter dep. energy scale Δ that vanishes at the QCP

3) Define the crossover by $\Delta(q) \propto T$

\nwarrow a generic knob

In $d=3$, $T=0$ $D=5$ \rightarrow upper critical dim.
 \rightarrow MFT exponents.

$D=4$ \nearrow

$T \neq 0$: LRO at finite T_c .

classical critical region vs quantum

\hookrightarrow Ginzburg criteria

$\hookrightarrow \Delta(q) \lesssim T$

\approx (HW prob 3.)